### Express Mail No. ER 953587741 US

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER K21516USWO (C038435/0188255)

(If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/013665 4 December 2003 6 December 2002 TITLE OF INVENTION NOVEL USE OF LYCOPENE APPLICANT(S) FOR DO/EO/US Luca BARELLA, Regina GORALCZYK, Ulrich SILER, and Karin WERTZ Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15 L A substitute specification. 16. X A power of attorney and/or change of address letter. 17. L A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821-1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp); Certificate of Express Mailing

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NU					KET NUMBER			
10/537452 PCT/EP2003/013665				K21516USWO (C038435/0188255)				
21. The following fees are submitted:					_	plicant use	Office use only	
a) Basic national fee\$300.00					\$	300.00		
★   b) Examination fee			. \$200.00	\$	200.00			
区 c) Search fee\$500.00					.\$500.00	\$	500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$	1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each								
additional 50	sheets of paper or frac	ion thereof.	Tortic medianly. The lee is t	200 1				:
			each additional 50 or fracti		RATE			
thereof (rou		round <b>up</b> to a whole numbe	r)					
19 - 100 =	0 /50 =		0	× \$250.00		\$	0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					earliest	\$		
CLAIMS	NUMBER FII	ED	NUMBER EXTRA	RATE		\$		
Total claims	31	- 20 =	11	х	\$50.00	\$	550.00	
Independent clain	ns 3	- 3 =	0	x \$200.00		\$	0.00	
MULTIPLE DEPE	NDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	0.00	
			TOTAL OF ABOVE			\$	1,550.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.								
SUBTOTAL = \$ 1,550.00								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							· " "	
TOTAL NATIONAL FEE = \$ 1,550.00								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$40.00								
TOTAL FEES ENCLOSED = \$ 1,590.00								
Amount to be refunded: \$\\$\$						\$		
						\$		
Amount to be onlyinged								
a. A check in the amount of \$to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. <u>02-4467</u> in the amount of \$ <u>1,590.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No02-4467 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Stephen M. Haracz, Esq.								
BRYAN CAVE LLP SIGNATURE					170	- FIVE-14		
1290 Avenue of the Americas Stephen J. Brown								
	New York 10104				NAME		43,519	
	2) 541-2000			_	REGISTRATIO	N NC	<u></u>	
Fax: (212) 541-4630 REGISTRATION NUMBER								

Docket No.: K21516USWO (C038435/0188255)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

·	CERTIFICATE OF EXPRE	SS MAII	LING	Jan. 3, 2333
·		ŕ		New York, New York June 3, 2005
For: NOVEL USE OF	LYCOPENE	)		
Filed:	Herewith	)		
International Filing Date:	4 December 2003	)	Art Unit:	Not yet assigned
Based on Int'l Application No	.: PCT/EP2003/013665	)	Examiner:	Not yet assigned
Luca BARELLA et al.		)		
In re Application of:		)		

"Express Mail" Mailing Label No.: ER 953587741 US

Date of Deposit: June 3, 2005

I hereby certify that the following:

[x] Certificate of Express Mailing (1 page)

- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- [x] Combined Declaration For Patent And Power Of Attorney (3 pp) (executed)
- [x] Information Disclosure Statement with PTO-1449 (5 pp, including duplicate page 3), International Search Report, and copies of documents
- [x] Recordation Form Cover Sheet and copy of Assignment (2 pp)
- [x] Preliminary Amendment (12 pp, including duplicate page 1)
- [x] Designation Of Registered Practitioners Pursuant To 37 CFR § 1.32(c)(3) (2 pp)
- [x] Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marvin Chisholm

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000

## **Express Mail No. ER 953587741 US**Docket No. K21516USWO (C038435/0188255)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. §371

In re Application of:		)		
Luca BARELLA <i>et al</i> .		)		
Based on Int'l Application No	.: PCT/EP2003/013665	)	Examiner	: Not yet assigned
International Filing Date:	4 December 2003	) '	Art Unit:	Not yet assigned
Filed:	Herewith	)		
For: NOVEL USE OF LYC	OPENE	)		
				New York, NY

# <u>DESIGNATION OF REGISTERED PRACTITIONERS</u> <u>PURSUANT TO 37 CFR § 1.32(c)(3)</u>

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The COMBINED DECLARATION FOR PATENT AND POWER OF ATTORNEY, filed concurrently herewith, names more than ten patent practitioners. Pursuant to 37 CFR § 1.32(c)(3) "such power of attorney must be accompanied by a separate paper indicating which ten patent practitioners named in the power of attorney are to be recognized by the Office as being of record in application or patent to which the power of attorney is directed." See also "Revision of Power of Attorney and Assignment Practice," 1283 OG 148, June 22, 2004.

JC17 nee'd YPTO 03 JUN 2005

Accordingly, this separate paper is being submitted concurrently with the

COMBINED DECLARATION FOR PATENT AND POWER OF ATTORNEY to designate the following practitioners for recognition by the Office as of record in the above-captioned application.

Name	Reg. No.
Haracz, Stephen M.	33,397
MacRae, Warren K.	37,876
Hooper, Kevin C.	40,402
Brown, Stephen J.	43,519
Avigliano, Charles	52,578

If any questions arise regarding this paper, please contact the undersigned attorney.

Respectfully submitted,

Stephen J. Brown

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